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DOCKET NO.: ~~MSFT~~ 2846/306722.01

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Ashish Shah, et al.**

**Confirmation No.: 2600**

**Application No.: 10/693,362**

**Group Art Unit: 2188**

**Filing Date: October 24, 2003**

**Examiner: Not Yet Assigned**

**For: SYSTEMS AND METHODS FOR IMPLEMENTATION OF A  
SYNCHRONIZATION SCHEMAS FOR UNITS OF INFORMATION  
MANAGEABLE BY A HARDWARE/SOFTWARE INTERFACE SYSTEM**

DATE OF DEPOSIT: April 21, 2006

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TYPED NAME: Jonathan M. Waldman  
REGISTRATION NO. 40,861

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Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).



In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).

☒ Copies of reference numbers **105 thru 110, 114** listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of reference numbers **111 thru 113** on the attached Form PTO 1449 are not required to be submitted pursuant to the waiver of 37 CFR § 1.98(a)(2)(i).

☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

April 21, 2006



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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-2846/306722.01</b>		Application No. <b>10/693,362</b>	
	Applicant <b>Ashish Shah, et al.</b>			
	Filing Date <b>October 24, 2003</b>		Group <b>2188</b>	
	Confirmation No. <b>2600</b>			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>				
	<b>105</b>	Gray, J. et al., "The Dangers of replication and a Solution", <i>SIGMOD</i> , 1996, 25(2), 173-182, XP-002146555		
	<b>106</b>	Helal, S. et al., "A Three-tier Architecture for Ubiquitous Data Access", <i>Computer Systems and Applications ACS/IEEE</i> , June 2001, 177-180, XP010551207		
	<b>107</b>	Kistler, J.J. et al., "Disconnected Operation in the Coda File System", <i>ACM Transactions on Computer Systems</i> , February 1992, 10(1), 3-25, XP000323223		
	<b>108</b>	Kistler, J.J. et al., "Increasing File System Availability through Second-Class Replication", <i>IEEE</i> , 1990, 65-69, XP010021244		
	<b>109</b>	"SyncML Sync Protocol", 2000, Version 1.0, 60 pages, <a href="http://www.syncml.org/docs/syncml_protocol_v10_20001207.pdf">http://www.syncml.org/docs/syncml_protocol_v10_20001207.pdf</a>		
	<b>110</b>	Seshadri, P. et al., "SQLServer for Windows Ce-a Database Engine for Mobile and Embedded Platforms", <i>Data Engineering, Proceedings of the 16<sup>th</sup> International Conference, IEEE Computer Society</i> , March 2000, 642-644, XP 010378761		
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>		

**Form PTO-1449 Modified**

List of Patent and Publications  
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**2188**

Confirmation No.  
**2600**

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	<b>111</b>	6,085,192	07/04/00	Mendez, et al.	707	10
	<b>112</b>	6,151,606	11/21/00	Mendez	707	201
	<b>113</b>	6,708,221 B1	03/16/04	Mendez, et al.	709	248

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	<b>114</b>	WO 02/075539 A2	09/26/02	PCT		

**EXAMINER****DATE CONSIDERED**